Standard 10: Research

A research strategy that is consistent with the nature and mission of the institution should be developed. All staff teaching higher education programs are expected to be involved in scholarly activities that ensure they remain up to date with developments in their field, and those developments should be reflected in their teaching. Faculty teaching in post graduate programs or supervising higher degree research students must be actively involved in research in their field. Adequate facilities and equipment must be available to support the research activities of faculty and postgraduate students in areas relevant to the program. Staff research contributions must be recognized and reflected in evaluation and promotion criteria.

Main components of this Standard as Applied to Educational Programs:

Teaching Staff and Student Involvement in Research
Facilities and Equipment

Comment and General Description of Good Practice in Research

Expectations for research involvement vary with the nature of an institution and the kind of program. Universities are expected to have substantial involvement in research and scholarship and their prestige in the international environment will depend on this to a major extent. There is also a separate expectation for research and scholarship relating to the teaching of post-graduate programs, particularly those with a significant research component, since the quality of teaching and learning in those programs is directly affected by the extent to which faculty have current research involvement. It is important that faculty involved in teaching post-graduate research students or supervising their research projects are themselves active scholars and researchers in their field.

At other institutions offering undergraduate programs there are lesser expectations for research. However faculty must still be familiar with the latest developments in their field and the institution should expect them to be involved in appropriate forms of scholarly activity. It should provide an environment in which this is encouraged, and monitor the extent to which it occurs.

If there is a research expectation for faculty or students in the program, either because of the nature of the institution or the particular program concerned there must be an adequate research infrastructure. This will include library and internet communication facilities, laboratories, equipment, and other research facilities relevant to the program. These resources provided must be available for postgraduate research students and faculty as part of normal basic provision.

Faculty should be encouraged to establish links with other institutions both locally and internationally, and, depending on the nature of the program, with industry and appropriate community agencies for cooperative research and development.

In all higher education programs faculty should be encouraged to pursue research interests, and be recognized for having done so even when additional resources cannot be provided to assist them. Regular reports of the research activities of faculty should be prepared, provided to the governing board, and made generally available in the institution.

Evidence and Performance Indicators

Evaluations of the quality of research should include a review of the research strategy for the department or the college, and other supporting documents including details of the extent and quality of research output by staff associated with the program. Evidence about involvement in research can be obtained from staff and from departmental research reports and staff evaluation and promotion criteria. Further evidence can be obtained by consideration of agreements for cooperative research and for shared use of major equipment items. Staff and student surveys can provide evidence about the adequacy of provisions for research facilities and equipment.

Performance indicators for research are commonly based on statistics on the volume of research publications per faculty member, the proportions of research-active teaching staff (a term that needs to be defined) and numbers of research citations—These figures should be compared with those at other comparable institutions and departments. In institutions with a commitment to research comparisons may
include the extent to which research and scholarly activities are translated into applications within the academic or professional field concerned.
**Standard 10: Research**

A research strategy that is consistent with the nature and mission of the institution should be developed. All staff teaching higher education programs are expected to be involved in scholarly activities that ensure they remain up to date with developments in their field, and those developments should be reflected in their teaching. Faculty teaching in postgraduate programs or supervising higher degree research students must be actively involved in research in their field. Adequate facilities and equipment must be available to support the research activities of faculty and postgraduate students in areas relevant to the program. Staff research contributions must be recognized and reflected in evaluation and promotion criteria.

The scales below ask you to indicate whether these practices are followed in your institution and to show how well this is done. Wherever possible evaluations should be based on valid evidence and interpretations supported by independent opinions.

<table>
<thead>
<tr>
<th>Good Practices Relating to This Standard</th>
<th>Is this true?</th>
<th>How well is this done?</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>10.1 Teaching Staff and Student Involvement in Research</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expectations for teaching staff involvement in research and scholarly activities must be made clear and provide for widespread participation. Encouragement and support must be provided to encourage research activity by junior teaching staff and postgraduate students.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10.1.1 Expectations for teaching staff involvement in research and scholarly activities are clearly specified and considered in performance evaluation and promotion criteria. (For universities criteria require at least some research and/or appropriate scholarly activity of all full time teaching staff).</td>
<td>Y</td>
<td>***</td>
</tr>
<tr>
<td>10.1.2 Clear policies are established in the institution for defining what is recognized as research, consistent with international standards and established norms in the field of study of the program. (This normally includes both self-generated and commissioned activity but requires creative original work, independently validated by peers, and published in media recognized internationally in the field of study)</td>
<td>Y</td>
<td>***</td>
</tr>
<tr>
<td>10.1.3 Support is provided for junior staff in the development of their research programs through mechanisms such as mentoring by senior colleagues, inclusion in project teams, assistance in developing research proposals, and seed funding.</td>
<td>Y</td>
<td>*</td>
</tr>
<tr>
<td>10.1.4 Postgraduate research students are given opportunities for participation in joint research projects.</td>
<td>Y</td>
<td>**</td>
</tr>
<tr>
<td>10.1.5 When research students are involved in joint research projects their contributions are appropriately acknowledged. When a significant contribution has been made reports and publications carry joint authorship.</td>
<td>Y</td>
<td>**</td>
</tr>
<tr>
<td>10.1.6 Assistance is available for teaching staff to develop collaborative research arrangements with colleagues in other institutions and in the international community.</td>
<td>Y</td>
<td>**</td>
</tr>
<tr>
<td>10.1.7 Research and scholarly activities of teaching staff that are relevant to courses they teach are reflected in their teaching together with other significant research developments in the field.</td>
<td>Y</td>
<td>**</td>
</tr>
<tr>
<td>10.1.8 Strategies are developed for identifying and capitalizing on the expertise of faculty and postgraduate students in providing research and development services to the community and generating financial returns to the institution.</td>
<td>Y</td>
<td>**</td>
</tr>
</tbody>
</table>

**Overall Assessment**

Comment **POINT 10.1.4 IS NOT APPLICABLE YET.**

Priorities for Improvement

Comment

Independent Opinion
10.2 Research Facilities and Equipment
Adequate facilities and equipment appropriate for research in the program field of study must be available for use by teaching staff and postgraduate students. Clear policies must be established for ownership and care for specialized facilities and equipment obtained through research grants or cooperation with industry.

10.2.1 Adequate laboratory space and equipment, library and information systems resources are available to support the research activities of faculty and students in the field in which the program is offered.

10.2.2 Security systems are established that ensure safety for researchers and their activities, the institutional community and the surrounding region.

10.2.3 Policies are established to make clear the ownership and responsibility for maintenance of equipment obtained through faculty research grants, commissioned research or other external sources.

10.2.4 Adequate budget and facilities for the conduct of research at a level consistent with institutional, program and departmental policies should be provided.

Overall Assessment

Priorities for Improvement

Independent Opinion

Comment
## Overall Assessment of Research

1. **Teaching Staff and Student Involvement in Research**

2. **Research Facilities and Equipment**

### Combined Assessment

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Teaching Staff and Student Involvement in Research</td>
<td>3.4</td>
</tr>
<tr>
<td>Research Facilities and Equipment</td>
<td>3.4</td>
</tr>
<tr>
<td><strong>Combined Assessment</strong></td>
<td>3.4</td>
</tr>
</tbody>
</table>

### Comment

____________________________________________________________________
____________________________________________________________________

### Independent Opinion

Comment

____________________________________________________________________

### Indicators Considered

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### Priorities for Improvement

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